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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/936,153	01/18/2002	Yasunari Ikeda	450118-02396	9213

20999 7590 11/15/2006

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EXAMINER

ODOM, CURTIS B

ART UNIT	PAPER NUMBER
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2611

DATE MAILED: 11/15/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/936,153

Applicant(s)

IKEDA ET AL.

Examiner

Curtis B. Odom

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 8/22/06.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-14, 17, and 18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1-6, 14, 17 and 18 is/are allowed.
- 6) ☒ Claim(s) 7, 8 and 10-13 is/are rejected.
- 7) ☒ Claim(s) 9 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 21 April 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

### DETAILED ACTION

1. The amendments filed on 8/22/2006 have been entered.

#### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 7, 8, 10, 11, and 13 are rejected under 35 U.S.C. 102(b) as being anticipated by Seki et al. (U. S. Patent No. 5, 694, 389).

Regarding claim 7, Seki et al. discloses an OFDM digital broadcast receiving apparatus (see column 1, lines 13-19, the apparatus shown in Fig. 18) for receiving a broadcast signal generated by multiplexing (see Fig. 17, block 207) main data signals which are encoded (Fig. 17, block 1301) and interleaved (Fig. 17, block 1302) using operation parameters set in accordance with the carrier frequency of the channel (see column 11, line 63-column 12, line 5) and null symbols/reference symbols (see Fig. 17, blocks 204 and 1305) representing sub symbols, wherein the reference symbol is used to control the decoder and deinterleaver (as described in column 12, lines 22-36), the signal being modulated by a PN (pseudo-random) code on carrier frequencies (see column 4, line 66-column 5, line 13, wherein the code is random as data is

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applied to carriers having random phases), wherein the receiver reproduces the main information data (see Fig. 18), the receiver comprising:

- a demultiplexing circuit (see Fig. 18, block 1405) for demultiplexing a main information data symbol from the reference symbols (as described in column 6, lines 37-40, and shown in Fig. 16, block 306);

- a deinterleaving circuit (see Fig. 18, block 1406) for deinterleaving the demultiplexed main information signal using operation parameters set in accordance with the carrier frequency of the channel (see column 11, line 63-column 12, line 5), and

- a decoding circuit (see Fig. 18, block 1407) for decoding the deinterleaved signal.

Regarding claim 8, Seki et al. discloses the operation parameters used for interleaving on a transmission side are set in accordance with the carrier frequency of the transmission channel (column 11, line 63-column 12, line 5), and a control circuit (Fig. 18, block 1404) for setting the operation parameters in the deinterleaving circuit in accordance with the carrier frequency (column 14, lines 29-36).

Regarding claim 10, Seki et al. discloses the broadcast signal is an OFDM signal (see column 12, lines 12-16).

Regarding claim 11, Seki et al. discloses the main information signal can be an audio signal (see column 1, lines 13-19), wherein the signal is encoded (see Fig. 18, block 1301).

Regarding claim 13, Seki et al. discloses a reference symbol multiplexed with the the main data signal (see Fig. 17, block 1305, column 5, lines 54-58), wherein the control circuit (Fig. 18, block 1404) controls the operation parameters of the decoding circuit (Fig. 19, block

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1407) using the reference symbol (see column 12, lines 21-36) reproduced using the PN sequences.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Seki et al. (U. S. Patent No. 5, 694, 389) as applied to claim 7, in view of Alamouti et al. (U. S. Patent No. 6, 600, 776).

Regarding claim 12, Seki et al. does not disclose pilot signals are contained in the sub-signals and used by a correction circuit for correcting a distortion in the main signal in accordance with a difference of the detected pilot signals.

However, Alamouti et al. discloses an OFDM transmitter (see Fig. 8) for transmitting audio signals (see column 23, lines 2-4) wherein the signals is modulated using a PN (pseudo-random noise) code to produce an OFDM signal (see column 23, lines 33-58). Alamouti et al. discloses pilot tones included in the main OFDM signal tones (column 26, lines 16-23). Alamouti et al. further discloses transmitting a series of pilot tones (signals) of known amplitudes and phases to provide an accurate representation of the channel response based on the difference (distortion) of the received pilot tones (see column 32, lines 8-16). The channel

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distortion can be compensated by taking a complex inverse of the channel response and multiplying the incoming signals by the complex inverse calculation (see column 32, lines 16-20). Therefore, it would have been obvious to one skilled in the art at the time the invention was made to include the transmission and processing of pilot signals in Seki et al. as disclosed by Alamouti et al. in order to compensate for phase and amplitude channel distortion (see Alamouti et al., column 32, lines 8-20).

### ***Allowable Subject Matter***

6. Claims 1-6, 14, 17, and 18 are allowable over prior art because related references do not disclose modulating and detecting signals using a pseudo-random binary sequence, wherein the initial value of the pseudo-random binary sequence is set in accordance with a frequency of a broadcast channel.

7. Claim 9 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.


### ***Conclusion***

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Curtis B. Odom whose telephone number is 571-272-3046. The examiner can normally be reached on Monday- Friday, 8-5.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jay Patel can be reached on 571-272-2988. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Curtis Odom  
November 12, 2006